



UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER 07/625,668 FIRST NAMED APPLICANT WALLACH ATTY DOCKET NO./TITLE WALLACH-4

ROGER L. BROWDY  
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 WASHINGTON, DC 20004

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DATE MAILED: 12/27/90

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
 FILING DATE GRANTED**

A filing date has been granted to this application. However, the following parts are missing.  
 If all missing parts are filed within the period set below, the total amount owed by applicant as a

☒ large entity, ☐ small entity (verified statement filed), is \$ 750.00.

1. ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity  
☐ small entity, must submit \$ 610.00 to complete the basic filing fee and **MUST ALSO SUBMIT THE SURCHARGE AS INDICATED BELOW.**
2. ☐ Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
3. ☒ The oath or declaration:  
☒ is missing.  
☐ does not cover items omitted at time of execution.  
 An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
5. ☐ The signature to the oath or declaration is: ☐ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
 \_\_\_\_\_ An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Receipt Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$30.00 under 37 CFR 1.17(k), unless this fee has already been paid. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
8. ☐ A \$50.00 processing fee is required for returned checks. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because check was returned without payment.
10. ☐ Other.

An Application Number and Filing Date have been assigned to this application. The missing parts and fees identified above in items 1 and 3-6 must be timely provided **ALONG WITH THE PAYMENT OF A SURCHARGE** of \$120.00 for large entities or \$60.00 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all missing parts and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to **ATTENTION: Application Division, Special Handling Unit.**

**A copy of this notice MUST be returned with response.**

For: Manager, Application Division  
 (703) 557-6000

**COPY TO BE RETURNED WITH RESPONSE**

Atty's Docket: WALLACH 4  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ) Application Division  
WALLACH et al )  
Serial No.: 07/625,668 ) Washington, D.C.  
Filed: December 13, 1990 ) April 26, 1991  
For: EXPRESSION OF THE RECOMBINANT TUMOR NECROSIS FACTOR )  
BINDING PROTEIN IF CTBP-1 )



#3

LATE SUBMISSION OF FILING FEE AND/OR DECLARATION

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sir:

The present communication is in response to the "NOTICE TO FILE MISSING PARTS OF APPLICATION..." dated December 27, 1990.

[XX] Attached hereto is an executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the present application by serial number and filing date.

- [ ] A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.  
[ ] A verified English translation.  
[ ] Preliminary Amendment

The following fee is calculated below:

			SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE				\$ 315	OR		\$630
TOTAL CLAIMS	9 -20 =	0	x 10 =	\$ 0	OR	x 20 =	\$ 0
INDEP. CLAIMS	2 - 3 =	0	x 30 =	\$ 0	OR	x 60 =	\$ 0
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED			+100 =	\$	OR	+ 200 =	\$
			TOTAL	\$		TOTAL	\$ 630

If the difference in Col. 1 is less than zero, enter "0" in Col. 2

[XX] Late filing surcharge in the amount of: Small Entity [ ] \$60.00 Other than Small Entity [XX] \$120.00

[XX] It is hereby petitioned for an extension of time in accordance with 37 C.F.R. 1.136(a). The appropriate fee required by 37 C.F.R. 1.17 is calculated as shown below:

Small Entity Response Filed Within	Other Than Small Entity Response Filed Within
[XX] First - \$ 50.00	[ ] First - \$ 100.00
[ ] Second - \$ 150.00	[ ] Second - \$ 300.00
[ ] Third - \$ 365.00	[XX] Third - \$ 730.00
[ ] Fourth - \$ 575.00	[ ] Fourth - \$1,150.00
Month After Time Period Set	Month After Time Period Set

RECEIVED

MAY 8

APPLICATION DIVISION

[XX] Conditional Petition for Extension of Time:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

[XX] Please charge my Deposit Account No. 02-4035 in the amount of \$1,480.00. A duplicate copy of this sheet is attached.

[XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR 1.18.

DF11329 05/06/91 07625668 02-4035 110 101 630.00CH  
DF11330 05/06/91 07625668 02-4035 BROAD AND WEINMARK 120.00CH  
DF11331 05/06/91 07625668 02-4035 Attorneys for Applicant 270.00CH

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By:

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:taf